

Agenda – Pwyllgor Archwilio a Sicrwydd Risg Comisiwn y Senedd

Lleoliad: I gael rhagor o wybodaeth cysylltwch a:
Fideogynhadledd drwy Zoom Clerk: Kathryn Hughes
Dyddiad: Dydd Gwener, 23 Ebrill 2021 Clerc y Pwyllgor
Amser: 10.00 0300 200 6565
PASRCS@senedd.cymru

1 Cyflwyniadau, ymddiheuriadau a datganiadau o fuddiant

Dogfennau atodol:

2 Cofnodion y cyfarfod ar 12 Chwefror, camau gweithredu a materion sy'n codi

(Tudalennau 1 – 15)

Dogfennau atodol:

ARAC (02–21) Paper 1– Draft Minutes of 12 Feb 2021

ARAC (02–21) Paper 2 – Action Summary

3 COVID–19 – Diweddariad corfforaethol

Dogfennau atodol:

4 Adroddiad yn nodi'r wybodaeth ddiweddaraf am lywodraethu ac archwilio

(Tudalennau 16 – 22)

Dogfennau atodol:

ARAC (02–21) Paper 3 – G&A update report



**5 Siarter Archwilio Mewnol a chydymffurfiaeth y broses archwilio
mewnol â Safonau Archwilio Mewnol y Sector Cyhoeddus**

(Tudalennau 23 – 34)

Dogfennau atodol:

ARAC (02–21) Paper 4 – IA Charter – cover paper

ARAC (02–21) Paper 4 – Annex A – IA Charter 2021

6 Datganiad ac Adroddiad Blynyddol ar Archwilio Mewnol

(Tudalennau 35 – 45)

Dogfennau atodol:

ARAC (02–21) Paper 5 – Internal Audit Annual Report and Opinion for 2020–
21

7 Adroddiad blynyddol ar dwyll

(Tudalennau 46 – 50)

Dogfennau atodol:

ARAC (02–21) Paper 6 – Annual Report on Fraud

8 Adroddiadau archwilio mewnol diweddaraf

(Tudalennau 51 – 80)

Dogfennau atodol:

ARAC (02–21) Paper 7 – Internal Audit Report – ICT Asset Management

ARAC (02–21) Paper 8 – Internal Audit Report – Cyber Security

**9 Canfyddiadau sy'n dod i'r amlwg a chyngor i'r Swyddog Cyfrifyddu
ynghylch cyflwyno'r cyfrifon a'r adroddiad blynyddol drafft i'r
Comisiwn**

(Tudalennau 81 – 90)

Dogfennau atodol:

ARAC (02–21) Paper 9 – Audit Wales update

**10 Adroddiad blynyddol a datganiad llywodraethu drafft y Comisiwn
ar gyfer 2020–21**

(Tudalennau 91 – 228)

Dogfennau atodol:

ARAC (02-21) Paper 10 – Draft Annual Report and Accounts 2020-21 – cover paper

ARAC (02-21) Paper 10 – Annex A – draft Annual Report Narrative

ARAC (02-21) Paper 10 – Annex B – draft Statement of Accounts

ARAC (02-21) Paper 10 – Annex C – draft Annual Governance Statement

11 Diweddariad cyllidol

(Tudalennau 229 – 234)

Dogfennau atodol:

ARAC (02-21) Paper 11 – Finance update

12 Risg corfforaethol

(Tudalennau 235 – 259)

Dogfennau atodol:

ARAC (02-21) Paper 12 – Corporate Risk

ARAC (02-21) Paper 12 – Annex A – Corporate Risk Summary Report

ARAC (02-21) Paper 12 – Annex B – Corporate Risks Plotted

13 Proses rheoli risg

Dogfennau atodol:

14 Crynodeb ymadael

(Tudalennau 260 – 261)

Dogfennau atodol:

ARAC (02-21) Paper 13 – Departures Summary

15 Y wybodaeth ddiweddaraf am dwyll a pholisïau chwythu'r chwiban

(Tudalennau 262 – 285)

Dogfennau atodol:

ARAC (02-21) Paper 14 – Whistleblowing and Fraud Policy Updates – cover paper

ARAC (02-21) Paper 14 – Annex A – Fraud Corruption and Bribery Policy

ARAC (02-21) Paper 14 – Annex B – Whistleblowing Policy

16 Adroddiad blynyddol yr Uwch-berchennog Risg Gwybodaeth

(Tudalennau 286 – 292)

Dogfennau atodol:

ARAC (02-21) Paper 15 – SIRO Annual Report

17 Adborth ar y drafodaeth yng nghyfarfod Pwyllgor Cyngori'r Comisiwn ar Daliadau, Ymgysylltu a'r Gweithlu

Dogfennau atodol:

18 Adroddiad blynyddol y Pwyllgor ar gyfer y Comisiwn a'r Swyddog Cyfrifyddu

Dogfennau atodol:

19 Blaenraglen Waith

(Tudalennau 293 – 296)

Dogfennau atodol:

ARAC (02-21) Paper 16 – ARAC Forward Work Programme 2021